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PTO/S8/21 (03-03) Approved for use through 04/30/2003, OMB 9651-0031
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D a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a Application Number 10/743,852 TRANSMITTAL Filing Date December 22, 2003 **FORM** First Named Inventor Rocco Dean Gogliotti, et al Art Unit (to be used for all correspondence after initial filing) Not Yet Known Examiner Name Not Yet Known Attorney Docket Number PC 25547A Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittel Form Drawing(s) to a Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Provisional Application Proprietary Information After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(\$) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority AUTHORIZATION TO CHARGE THE FEE AND ANY ADDITIONAL FEES AS Document(s) NECESSARY OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT Response to Missing Parts/ 21-0718 IS HEREBY GIVEN. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric J. Baude #47,413 Individual Signature Evin & 15ml Date 05/20/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby cordify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:Commissioner for Patents, P.O.Box 1450, Alexandra, VA 22313-1450 on the cell 05/20/2004 Typed or printed Cicely M. Bohms

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sont to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commence, Washington, DC 20231; DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application No.:

10/743,852

Applicant:

Rocco Dean Gogliotti, et al

Filed:

December 22, 2003

Title:

Benzoxazines and derivatives thereof as therapeutic agents

TC/A.U.:

TBD

Examiner:

TBD

Docket No.:

PC25547A

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed before the mailing of the first Office Action on the ments.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided except for issued United States patents or published patent applications.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: May 20, 2004

Eric J. Baude, Reg. No. 47,413
Warner-Lambert Company
2800 Plymouth Road
Ann Arbor, MI 48105
Tel. (734) 622-1705
Fax (734) 622-1553
Customer No. 28880

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/743,852	
INFORMATION DISCLOSURE				Filing Date	December 22, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Rocco Dean Gogliotti, et al.	
(Use as many sheets as necessary)			reassnu)	Art Unit	Not Yet Known	
(USO 45 Many amous 45 (190033413)		Examiner Name	Not Yet Known			
Sheet	<u>l</u>	of	2	Attorney Docket Number	PC 25547A	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Chemical Abstract AN: 2003:1957877 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2003:1940777 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2003:1940509 CHEMCATS, PD: July 9, 2002	
	<u> </u>	Chemical Abstract AN: 2003:1940371 CHEMCATS, PD: July 9, 2002	
	 	Chemical Abstract AN: 2002:2995642 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:2977312 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:2647933 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:2272781 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:1467769 CHEMCATS, PD: January 17, 2002	
-		Chemical Abstract AN: 2002:1464450 CHEMCATS, PD: January 17, 2002	

	Examiner	Date	
1	Signature	Considered	1

[&]quot;EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449/PTO		Complete if Known				
7 Substitute for forth 1448/FTO				Application Number	10/743,852	
INFO	DRMATION	DIS	CLOSURE	Filing Date	December 22, 2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	Rocco Dean Gogliotti, et al.		
			Decemble	Art Unit	Not Yet Known	
(Use as many sheets as necessary)		Examiner Name	Not Yet Known			
Sheet	2	of	2	Attorney Docket Number PC 25547A		

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Chemical Abstract AN: 2002:1461688 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1460415 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1458784 CHEMCATS, PD: January 17, 2002	
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